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(71) Applicant: WIRELESS ACCESS, INC. [US/US]; 125 Nicholson Lane, San Jose, CA 95134 (US).

(72) Inventor: WILLIAMS, Tim, A. ; Two Scenic Court, Danville, CA 94506 (US).

(74) Agents: CASERZA, Steven, F. et al.; Flehr, Hohbach, Test, Albritton & Herbert, 4 Embarcadero Center, Suite 3400, San Francisco, CA 94111-4187 (US).

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(54) Title: A DIRECT CONVERSION RECEIVER FOR MULTIPLE PROTOCOLS
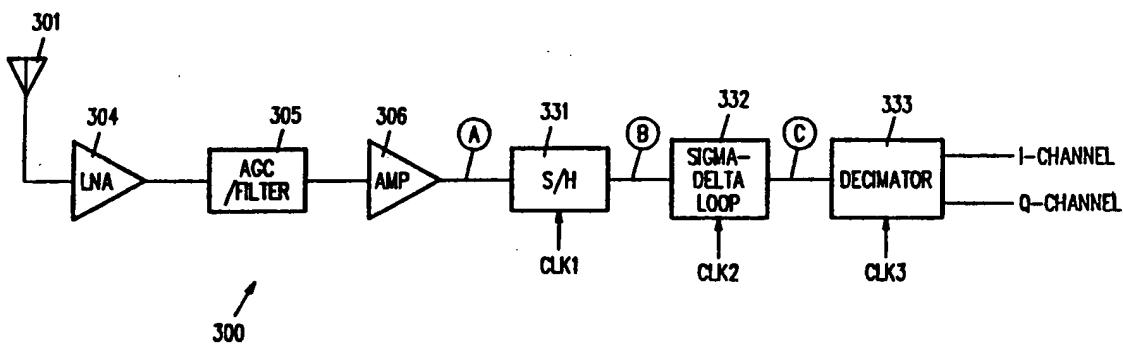
(57) Abstract

A novel direct conversion receiver (300) utilizing a sample and hold circuit (331) for subsampling the input signal (A). The output of the sample and hold circuit (B) is applied to a sigma-delta loop (332) to provide a high speed low resolution data stream (C) which in turn is applied to a decimator (333) which provides a high precision, low data rate signal having quadrature outputs.

A DIRECT CONVERSION RECEIVER FOR MULTIPLE PROTOCOLS

Background

This invention pertains to receivers, and more specifically
to a novel RF receiver which is capable of being remotely
5   tuned in frequency and bandwidth.

The need for radio receivers is widespread, and a recent use
which has experienced phenomenal growth is the cellular
telephone. Cellular telephones have undergone a dramatic
market growth in the past few years. These existing systems
10   utilize analog FM modulation techniques.   In order to
transmit data, landline modem signals are transmitted over
cellular systems by using the cellular telephone as a
twisted pair replacement.   The trend in the industry is
toward replacing the analog FM system with digital
15   modulation and transmission means, e.g. GSM, IS54, JDC.
IS54 is a so-called dual mode system in which the existing
analog and the new digital modulation must coexist. Thus
one portable handset must be capable of communicating using
either analog or digital cellular signals.   Other types of
20   signals are being transmitted over the cellular network, as
described in U.S. patent 4,914,651.   This diversity of
signals causes the designer of terminal equipment to require
a receiver which is capable of dealing with multiple
modulation techniques, or "protocols."

25   Figure 1 shows a typical prior art double conversion
receiver architecture.   Double conversion receiver 100

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receives an RF input signal on antenna 101 which is applied
to RF section 102. RF section 102 includes RF filter 103
(such as a Surface Acoustic Wave filter) which provides to
low noise amplifier 104 an RF signal with a desired passband
5   and including the desired signal to be received having a
carrier frequency ω_C. The output from low noise amplifier
104 is applied to automatic gain control circuit (AGC) 105
in order to provide an output signal to RF amplifier 106 of
relatively constant amplitude independent of the amplitude
10 of the RF signal received on antenna 101. AGC circuit 105
receives its control signal from any well-known means, for
example an adaptive gain control loop implemented in the
baseband portion of the receiver and for simplicity, not
shown in Figure 1. The output signal from RF amplifier 106
15 is applied to first mixer 107, which also receives a first
local oscillator signal LO1 having a frequency ω_{LO1}. The
output signal from mixer 107 includes four primary frequency
components: ω_C, ω_{LO1}, $\omega_C + \omega_{LO1}$, and $\omega_C - \omega_{LO1}$. The output from
mixer 107 is applied to intermediate frequency (IF) stage
20 108 which includes amplifier 109 for amplifying the output
signal from mixer 107. This amplified signal from amplifier
109 is applied to the bandpass filter 110 which is tuned to
reject signals having frequencies ω_C and ω_{LO1}, as well as one
of the remaining signal components of the output signal from
25 mixer 107. This remaining component is the IF signal of
interest and corresponds to the RF signal received on
antenna 101 translated to a new intermediate frequency ω_{IF}.
The output from band pass filter 110 is applied to the input
of amplifier 111, whose output signal is applied to second
30 mixer 112 for mixing with a second local oscillator signal
LO2 having a frequency ω_{LO2}.

The output signal from mixer 112 is applied to baseband
circuitry 113, which includes baseband amplifier 114 which
is in turn coupled to baseband filter 115. The output
35 signal from second mixer 112 includes frequency components
ω_{IF}, ω_{LO2}, $\omega_{IF} + \omega_{LO2}$, and $\omega_{IF} - \omega_{LO2}$. Baseband filter 115 is tuned
to reject frequencies ω_{IF}, ω_{LO2}, as well as one of the

remaining frequency components output from mixer 112. The remaining frequency component of the output signal of mixer 112 is passed by passband filter 115 as the desired baseband signal containing quadrature components I and Q representing

5   the information stored in the modulated RF signal received at antenna 101.

The optimum receiver architecture for a vector based communication channel like those of interest in personal communications is discussed by Wozencraft and Jacobs,

10   "Principals of Communication Engineering," Chapter 4, P 211-285, John Wiley and Sons, 1965. The quadrature demodulator receiver shown in Figure 1 is a common implementation of the design discussed in Wozencraft and Jacobs' text.

15   Ian Sevenhans, Amoul Vanwelsenaers, I. Wenin, J. Baro, "An Integrated Si bipolar RF transceiver for a zero IF 900 MHz GSM digital mobile radio frontend of a hand portable phone." IEEE 1991 Custom Integrated Circuits Conference, Paper 7.7, describes an implementation of a direct conversion receiver

20   which is implemented using the traditional all analog approach.

Figure 2 shows a prior art direct conversion receiver as described in "Performance of a Direct Conversion Receiver with pi/4-dqpsk Modulated Signal," K. Anvari, M. Kaube, and

25   B. Hriskevich, Proceedings of the 41st IEEE Vehicular Technology Conference, May 1991, pp. 822-823. The major advantage of this type of receiver is that it allows for a reduction in both size and power consumption, as compared with a double conversion receiver as in Fig. 1. A modulated

30   RF signal is received at antenna 201 and is applied to RF section 202 including RF amplifier 204. The amplified signal from amplifier 204 is applied to AGC circuit 205, which provides to the input of RF amplifier 206 a RF signal of relatively constant amplitude, independent of the

35   amplitude of the received signal at antenna 201. As is well

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known in the art, AGC circuit 205 receives its control signal from any convenient source, such as an adaptive gain control loop implemented in the baseband portion of the receiver, and for simplicity not shown in Figure 2.

5   The amplified RF signal from amplifier 206 is applied to quadrature demodulator 213. This output signal from amplifier 206 is applied to mixers 220I and 220Q, which each also receives a local oscillator signal having frequency ω_{LO}, but which are 90 degrees out of phase. Since the

10   circuit of Figure 2 is a direct conversion receiver, the local oscillator signal LO is tuned to the received signal frequency ω_c. Thus, mixer 220I receives from phase splitter 219 a signal -sin $\omega_c t$ and mixer 220Q receives from phase shifter 219 a signal cos $\omega_c t$. Mixers 220I and 220Q provide

15   baseband output signals, which are filtered of spurious signals by filter 221I and 221Q, amplified by amplifiers 222I and 222Q, and anti-alias filtered by filters 223I and 223Q, respectively. These I and Q baseband frequency components are applied from filters 223I and 223Q to analog

20   to digital converter 224 (having a low pass characteristic) to provide digital I and Q baseband output signals providing the information contained in the modulated RF signal received at antenna 201.

Anvari, et al discuss various types of implementation

25   problems with the direct conversion receiver. These are now reviewed for the purpose of showing how these problems are reduced or eliminated with the present invention.

1)   Balance of Amplitude and Phase Terms

Distortion of the phasor results when the components of the

30   I and Q channels are either uniformly distorted or differentially distorted. Anvari, et al. conclude that to avoid this type of distortion all the components in the I and Q channels require constant gain and phase characteris-

tics across their dynamic range. To assure this matching is difficult and costly.

2)   Spurious Signal Rejection Filters

Sharp cutoff requirements for spurious signal rejection
5   filters (such as filters 221I and 221Q of Fig. 2) cause amplitude and phase distortion for signals whose frequencies are close to the band edge. Sharp cutoff filters require a large number of filtering stages which increase complexity and cost. This is particularly true when such filters are
10 implemented as switched capacitor filters which are difficult to implement, control, and test.

3)   DC Offset in the I and Q Channels

DC offset is caused by carrier feedthrough from the high power local oscillator signals, self mixing of I and Q
15 channels signals, 1/f noise of the operational amplifiers and mixers, and bias in the filters and amplifiers.

Anvari, et al. conclude that the problem can be eliminated by AC coupling of the signal or removing the DC offset in the digital signal processing section. Many of the
20 modulation schemes used in personal communications require low frequency information to achieve low bit error rates. Thus predicting the DC offset in a control loop in the digital signal processor is the best prior art alternative, as this results in a lower bit error rate than is possible
25 by simply AC coupling.

4)   Sampling Time of the Analog to Digital Converter

The sampling jitter of the sample and hold used at the input to the Analog-to-Digital converter (such as Analog-to-Digital converter 224, Figure 2) will introduce differential
30 phase distortion between the I and Q channels.

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The key elements of a receiver design are its physical size, power consumption, and cost. In the past, integration onto silicon of major portions of a portable terminal have accomplished these goals. The present invention allows for
5   the further integration of the receiver as compared with the prior art. The present invention simplifies the construction of direct conversion receivers for a variety of personal communication systems.

Summary

10 In accordance with the teachings of this invention, a novel direct conversion receiver is taught which utilizes a sample and hold circuit for subsampling the input signal. The output of this sample and hold circuit is applied to a Sigma-Delta loop in order to provide a high speed, low
15 resolution data stream which is applied to a decimator which provides a high precision, low data rate signal having quadrature outputs.

Brief Description of the Drawing

Figure 1 is a block diagram of a typical prior art double
20 conversion receiver;

Figure 2 is a block diagram of a typical prior art direct conversion receiver;

Figure 3 is a block diagram depicting one embodiment of a direct conversion receiver constructed in accordance with
25 the teachings of this invention;

Figure 4 is a block diagram depicting a prior art decimator suitable for use as decimator 333 of the embodiment of Figure 3; and

Figures 5-8 are graphs depicting various signals in the
30 embodiment of Figure 3.

Detailed Description

    Figure 3 shows a block diagram of one embodiment of a novel
    direct conversion receiver 300 constructed in accordance
    with the teachings of the present invention.

 5 The RF energy received at antenna 301 is amplified by low
    noise amplifier 304 and applied to AGC circuit 305. As in
    the prior art, the AGC control signal may be generated, for
    example, using an adaptive gain control loop implemented in
    the baseband portion of the receiver, for simplicity not
10 shown in the block diagram of Figure 3. The gain-controlled
    output signal from AGC circuit 305 is applied to amplifier
    306, resulting in the output signal A from amplifier 306
    having a frequency spectrum as shown in Figure 5 including
    carrier frequency ω_c and covering a frequency band from $\omega_c -$
15 $\frac{1}{2}\omega_{bw}$ to $\omega_c + \frac{1}{2}\omega_{bw}$, where ω_{bw} is the bandwidth of the received RF
    signal of interest. If desired, amplifier 306 can include
    some filtering characteristics, thereby providing a certain
    amount of selectivity with respect to the bandwidth of
    interest.

20 Output signal A from amplifier 306 is sub-sampled by sample
    and hold circuit 331 clocked by clock CLK1 having frequency
    ω_1. Clock signal CLK1 is provided in any convenient
    fashion, for example from a crystal-based clock circuit (not
    shown), as is well known in the art. This allows the
25 frequency of clock signal CLK1 to be conveniently adjusted,
    for example through the use of digital dividers, in order to
    establish ω_1 at any desired frequency, which frequency may
    be programmed digitally. By "sub-sampling" signal A, sample
    and hold circuit 331 provides aliased copies of the original
30 signal. The resulting signal B is shown in Figure 6, and
    contains the original signal having a carrier frequency ω_c
    and aliased copies of that original signal centered at
    integral multiples of ω_1, the sampling frequency of sampling
    hold circuit 331 defined by clock signal CLK1. Although not
35 necessary, the implementation of decimator 333 is made more

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convenient if frequency ω_1 of clock signal CLK1 is an integral fraction of carrier frequency ω_c.

The relationship between sampling frequency ω_1 and carrier frequency ω_c is dependent on the characteristics of the
5   modulation being received, bandwidth ω_{bw} of the received signal, and the capabilities of Sigma-Delta converter 332 which receives signal B from sample and hold circuit 331. The choice of ω_1 and its relationship to the decimator construction are explained with respect to one decimator
10   suitable for use in accordance with this invention, such decimator being described in copending U.S. patent application serial no. 07/934,946 on an invention entitled "A Bandpass Decimation Filter Suitable for Multiple Protocols" (attorney docket number WIRE-003 US), which is
15   hereby incorporated by reference.

Signal B is converted by oversampling Sigma-Delta converter 331. Sigma-Delta converter 332 receives clock signal CLK2 having a frequency which is an integral multiple of the frequency of clock signal CLK1. This provides for Sigma-
20   Delta loop 332 to oversample the output signal provided by sample and hold circuit 331 by a factor of, for example, 128 or 256 in order to provide a frequency response as shown in Figure 7, having a center frequency ω_1. In this manner, Sigma-Delta converter 332 disposes of the copies of the
25   input signal contained in the spectrum of the output signal from sample and hold converter 331 (and shown in Figure 6) other than that centered at frequency ω_1. This provides a single aliased copy, centered at frequency ω_1, for processing. Sigma-Delta converter 332 can have either a
30   low pass conversion transfer function or a bandpass conversion transfer function, depending on the spectrum at point B and the desired characteristics of the conversion. The embodiment here described has a bandpass conversion transfer function.

One example of a Sigma-Delta loop suitable for use as Sigma-Delta converter loop 332 is described in copending U.S. patent application serial no. 07/935,018 on an invention entitled "A Bandpass Sigma Delta Converter Suitable for
5   Multiple Protocols" (attorney docket no. WIRE-002 US), which is hereby incorporated by reference.

A principal feature of Sigma-Delta loop 332 is its ability to select one of a pre-selected set of conversion bandwidths in response to a bandwidth selection signal applied to
10 Sigma-Delta loop 332 via bandwidth selection port 340. The Sigma-Delta loop disclosed in the aforementioned copending application selectively converts signals from analog representation to digital representation. The signals which are converted from analog to digital are bandpass limited by
15 Sigma-Delta loop 332 in order to obtain only those signals which are of importance for receiving the modulated information.

The output signal from Sigma-Delta loop 332 is a digital data stream labeled C and depicted in Figure 8. The data
20 rate of signal C can be as low as the data rate (CLK2) of Sigma-Delta loop 332 for the case of a one bit quantizer, or can be an integer multiple higher for the case of multibit converters or parallel converters.

Decimation circuit 333, which may be of conventional design,
25 converts signal C from a low precision, high data rate signal to a high precision, low data rate signal. Figure 4 is a schematic diagram of a prior art circuit suitable for use as decimation circuit 333 for converting input signal C of this invention applied to its input lead 40 into an in-
30 phase signal I and a quadrature signal Q. ω_o is chosen for convenience of the mixing operation as described in "Decimation for Bandpass Sigma-Delta Analog-to-Digital Conversion," Richard Schreier and W. Martin Snelgrove, IEEE 1990 Custom Integrated Circuits Conference, pp. 1801-1804,
35 or for the reduction in the complexity of filters H1.

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Other methods of providing decimation as provided by decimation circuit 333 are known in the prior art. Furthermore, a decimation method which is highly suitable for wireless communication signals which require timing
5 recovery systems to derive the data recovery clock based on the received data is described in copending U.S. patent application serial no. 07/934,946 (attorney docket no. WIRE-003 US).

In accordance with the teachings of the present invention,
10 a novel direct conversion receiver is taught which avoids mismatch in the processing of the I and Q channels by utilizing digital filters. Digital filters allow exact differential matches to occur in the filters. While absolute matches are a function of the quantization noise
15 and bit precision of the arithmetic which is an easily controlled design parameter, the amplitude and phase characteristics for the I and Q processing are determined at design time and are exactly repeatable, since they are digital. Since both the I and Q channels are processed by
20 exactly the same analog components up to the point at which they are converted to digital, these channels can be processed using digital techniques which avoid the difficulties of DC balance found in prior art receivers.

Since the spurious signal rejection filters incorporated as
25 part of decimation circuit 333 (Fig. 3) in accordance with the teachings of the present invention can be implemented as digital filters, the characteristics of these filters can be well controlled at reasonable cost. The implementation cost can be further reduced by time division multiplexing the
30 filters with other processing functions, when such filters are implemented utilizing a digital signal processing circuit which can be used for other purposes in addition to such filtering.

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In accordance with the teachings of this invention, a number of problems noted in prior art direct conversion receivers are easily avoided. As previously noted, DC offset in the I and Q channels is caused by carrier feedthrough from the
5   high power local oscillator signals. Because, in accordance with the teachings of this invention, the A/D conversion is performed by Sigma-Delta loop 332 on signals at IF frequencies, DC offset ceases to be a problem. Carrier feedthrough only occurs between the local oscillator (CLK2)
10 and RF amplifiers 304, 306 since the I and Q processing is no longer analog. Thus, the feedthrough problem reduces to an easily solved problem of isolating analog components from digital signals, since in accordance with this invention the local oscillator is a digital signal. The problem of self
15 mixing of in-channel (I) and out-of-channel (Q) signals appears in the current invention as aliasing of spurious signals into the passband of interest. This can be controlled by proper choice of mixing frequencies. Because conversion is performed at high frequencies the 1/f noise of
20 the analog components is not of concern since 1/f noise is predominant at low frequencies. Bias in filters and amplifiers is not a problem in IF based converters.

A major advantage of a receiver constructed in accordance with the teachings of this invention is the ability to
25 determine, after fabrication of the receiver, the type of signals that the receiver is to process via software or digital logic. The use of digital filters in decimation circuit 333 allows the characteristics of the receive signal path to be determined after fabrication. The bandwidth of
30 the oversampled Sigma-Delta converter 332 can be determined by selection of the oversampling ratio, selection of the characteristics of the loop filter, and the characteristics cf decimation filter 333. The conversion rate of the modulator, CLK2, is determined by the digital clock rates
35 driving the modulator. This allows the modulator to adapt its sampling rates to those required by the communication protocol being converted.

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Since the A/D conversion of Sigma-Delta converter 332 is based on an oversampled technique, the choice of modulator clock rate (CLK2) and decimation factor (CLK3) determine the quantization noise level of the conversion. This allows

5   for conversion accuracy to be defined utilizing digital logic or software, thereby providing a novel receiver which is adaptable to many protocols.

All publications and patent applications mentioned in this specification are herein incorporated by reference to the

10 same extent as if each individual publication or patent application was specifically and individually indicated to be incorporated by reference.

The invention now being fully described, it will be apparent to one of ordinary skill in the art that many changes and

15 modifications can be made thereto without departing from the spirit or scope of the appended claims.

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WHAT IS CLAIMED IS:

1.   A communications receiver comprising:
      an input port for receiving an input signal;
      a sample and hold circuit for subsampling said input
5 signal and providing a set of aliased copies of said input
   signal;
      a frequency selective analog to digital converter for
   receiving said set of aliased copies of said input signal
   and providing as an output a selected one of said aliased
10 copies of said input signal; and
      a decimation filter for receiving said selected one of
   said aliased copies of said input signal and providing a
   baseband output signal.

2.   A receiver as in claim 1 wherein said sample and hold
15 circuit receives a first clock signal such that said aliased
   copies of said input signal are each centered at a frequency
   which is an integral multiple of the frequency of said first
   clock signal.

3.   A receiver as in claim 2 wherein said first clock
20 signal has a programmably adjustable frequency.

4.   A receiver as in claim 2 wherein said first clock
   signal has a frequency which is an integral fraction of the
   carrier frequency of said input signal.

5.   A receiver as in claim 1 wherein said Sigma-Delta
25 converter receives a second clock signal which is an
   integral multiple of said first clock signal.

6.   A receiver as in claim 1 wherein said converter further
   receives a bandwidth selection signal and in response
   thereto provides a predetermined frequency selective
30 conversion characteristic.

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7.   A receiver as in claim 1 wherein said frequency selective converter comprises an oversampling sigma-delta converter.


8.   A receiver as in claim 1 wherein said sample and hold
5 circuit and said frequency selective converter are implemented as an oversampling sigma-delta converter.

9.   A communications receiver as in claim 1 wherein said decimation filter receives a third clock signal which tracks the frequency and phase of a transmitted clock signal
10 detected from said input signal.

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PRIOR ART
FIG. 1

PRIOR ART
FIG. 2

FIG. 3
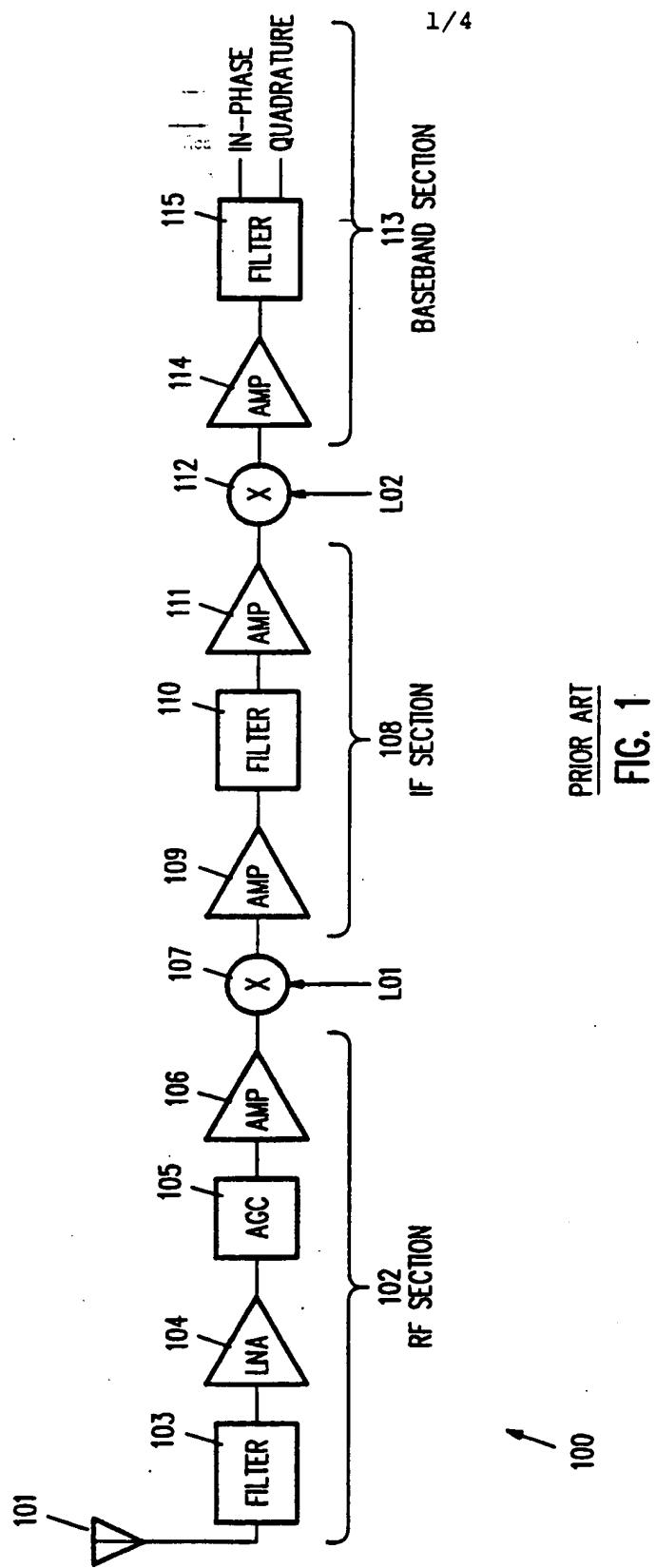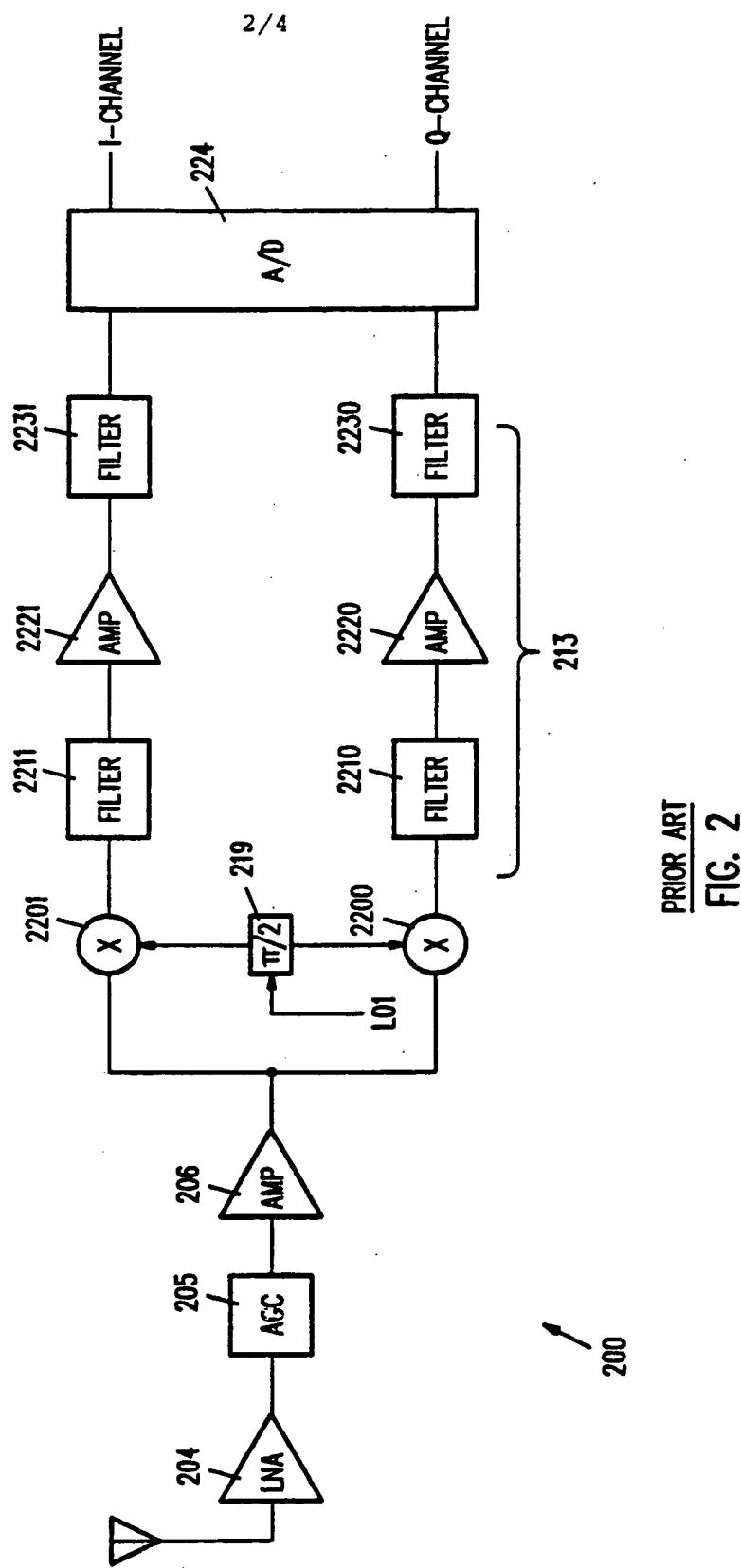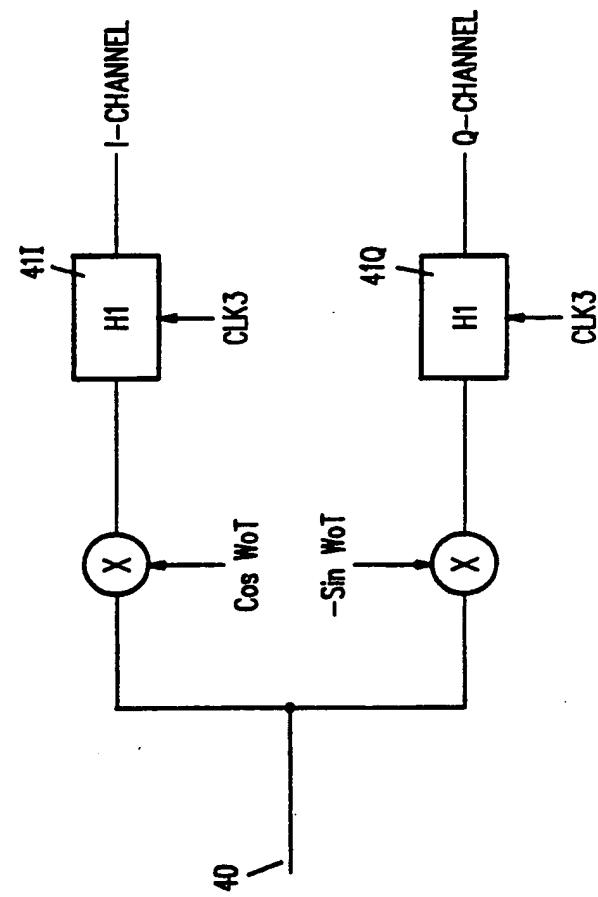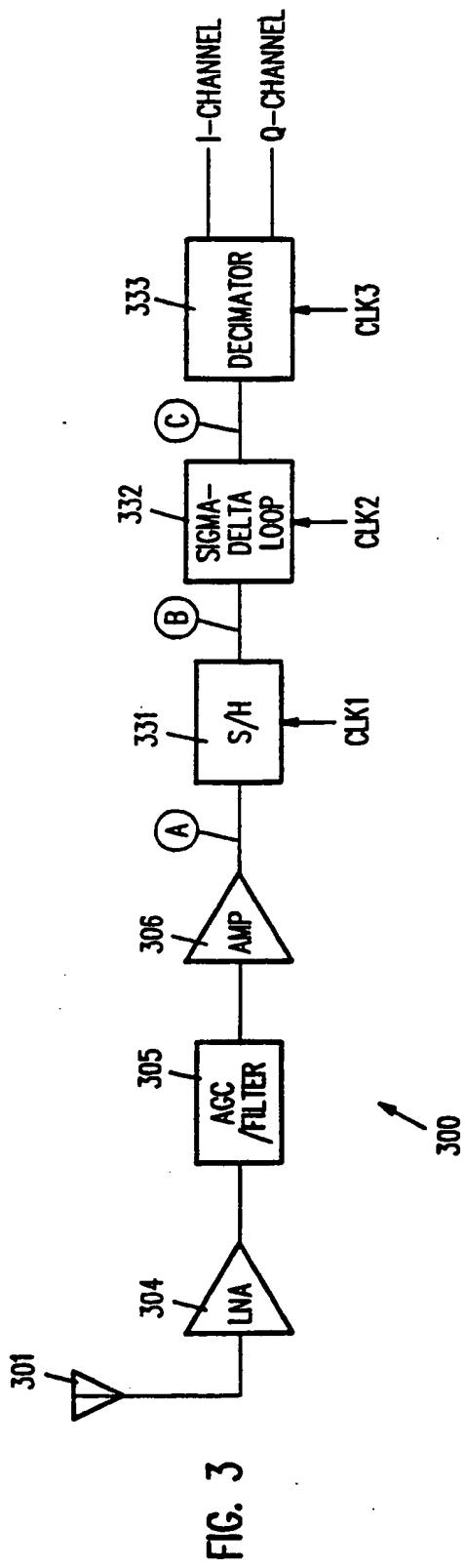
PRIOR ART
FIG. 4

4/4
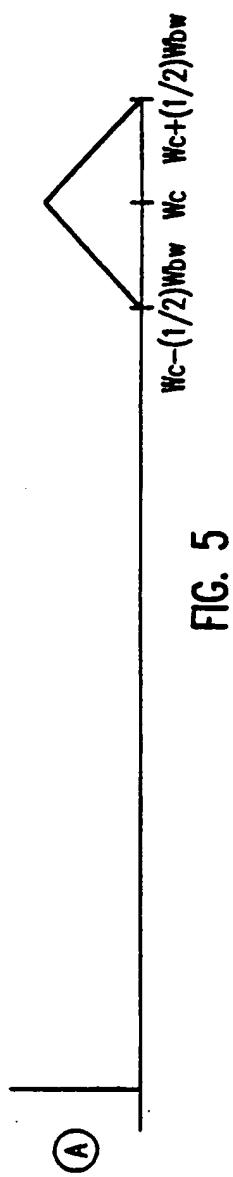
FIG. 5
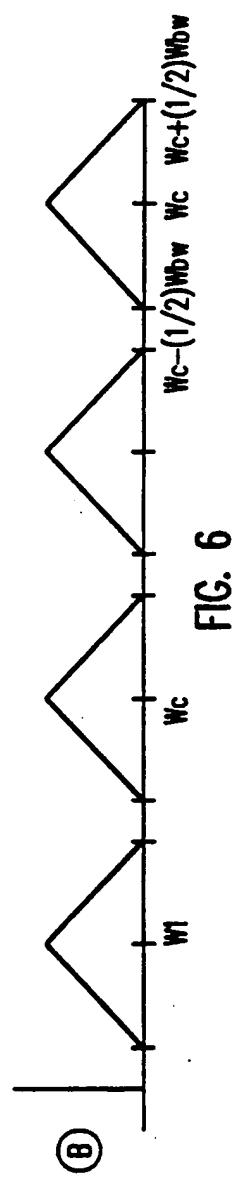
FIG. 6
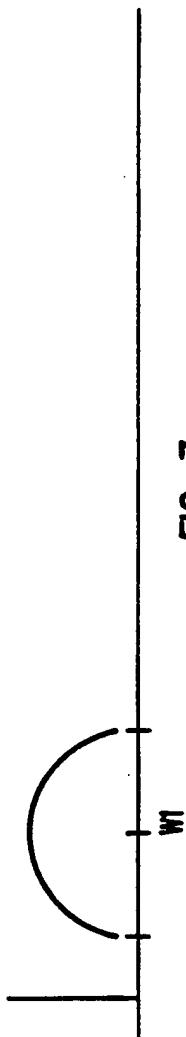
FIG. 7
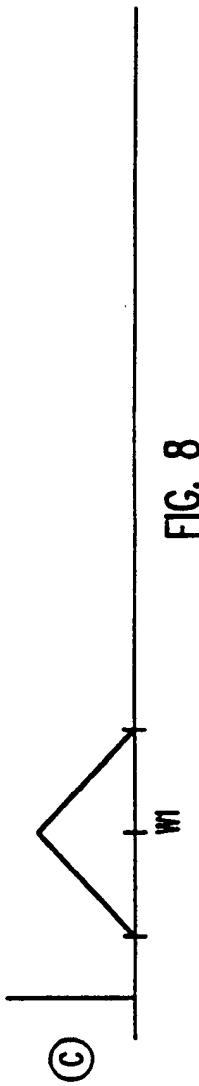
FIG. 8

INTERNATIONAL SEARCH REPORT

A. CLASSIFICATION OF SUBJECT MATTER
IPC(5) :H03M 1/00, 7/32; H04L 27/06, 27/14, 27/22; H04B 1/10; G06F 15/31.
US CL :375/75, 103; 364/724.01, 724.10; 341/77, 143, 155.
According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED
Minimum documentation searched (classification system followed by classification symbols)
U.S. : 375/75, 103; 364/724.01, 724.10; 341/77, 143, 155.

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
IEEE Publications

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
USPTO APS - Sample and Hold, Sigma-Delta or Delta-Sigma, Decimation, Analog to Digital Converter.

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y,P	US, A, 5,220,583 (SOLOMON) 15 June 1993, col. 4, lines 5-20, figs. 2-4.	1-4, 6-8.
A,P	US, A, 5,157,395 (DEL SIGNORE ET AL) 20 October 1992, fig. 1, 4, col. 1, lines 12-64.	1-9
A	US, A, 5,030,954 (RIBNER) 09 July 1991, Abstract, col. 2, lines 20-40.	1-9
A	Jantzi,S. et al., "A Fourth-Order Bandpass Sigma-Delta Modulator", IEEE Journal of Solid-State Circuits, vol. 28, No. 3, March 1993, pages 282-291.	1-9

[X] Further documents are listed in the continuation of Box C. [ ] See patent family annex.

	Special categories of cited documents:	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A"	document defining the general state of the art which is not considered to be part of particular relevance		
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"O"	document referring to an oral disclosure, use, exhibition or other means		
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Date of the actual completion of the international search	Date of mailing of the international search report
02 NOVEMBER 1993	DEC 02 1993

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INTERNATIONAL SEARCH REPORT

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	Jantzi,S. et al., "Bandpass Sigma-Delta Analog-to-Digital Conversion", IEEE Transactions on Circuits and Systems, vol. 38, No. 11, Nov. 1991, pages 1406-1409.	1-9
A	Schreier,R. et al., "Decimatiorfor Bandpass Sigma-Delta Analog-to-Digital Conversion", IEEE 1990, pages 1801-1804.	1-9
Y	Jantzi, S., et al. "A Bandpass Sigma-Delta A/D Converter for a digital AM Receiver", International Conference 1991, Publ. No. 343, pages 75, 77 and figure 1.	1-4, 6-8.